



## Regional Seminar

### Managing Disaster Risks and Water under Climate Change

#### in Central Asia and the Caucasus

Khorog (Tajikistan), 17-23 September 2018



#### Thematic Input Paper 1 (TIP1)

### State of the art of knowledge on water resources and natural hazards under climate change in Central Asia and the South Caucasus

*Veruska Muccione, University of Zurich (Switzerland) & Joel Fiddes, University of Oslo (Norway) and Swiss Institute for Snow and Avalanche Research SLF (Switzerland)*

Contribution of Professor Pierre Chevallier (CNRS, IRD, Université de Montpellier)  
and Dr Maria Shahgedanova (University of Reading) as reviewers

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Water Network (RésEAU)



## 1 1. Background / Scope

2 Climate change is expected to have profound impacts on water resources and natural hazards in Central Asia (CA) and South  
3 Caucasus (SC). Such impacts are critical to understand particularly in the context of rapid socioeconomic change which will  
4 have implications for vulnerabilities of populations in the region. This paper aims to provide a synthesis of the scientific  
5 evidence of these changes, their magnitudes and expected consequences for the South Caucasus and Central Asia. These are  
6 two distinct regions, which climatically can be divided roughly as North Central Asia (CAN), South Central Asia and East  
7 Caucasus (CAS), High Central Asia (CAH) and western Caucasus (CCW).

8 The paper starts from an analysis of observed and projected changes in term of the atmospheric drivers of change, e.g. air  
9 temperature (TA) and precipitation (PR) anomalies at the global and regional level. Observed climate change refers to  
10 measurements taken at individual stations, satellite data and data obtained from assimilated meteorological data (re-analysis  
11 data). Climate projections are obtained by using quantitative methods to simulate the response of the main earth's system  
12 components (air, land, oceans, cryosphere) to an increase in greenhouse gas (GHGs) concentrations. Climate scenarios to  
13 simulate future GHG concentrations are given by the Representative Concentration Pathways (RCPs). In this paper we will  
14 refer to results for the RCP2.6 and RCP8.5 scenarios. RCP2.6 represents a mitigation scenario aiming at keeping the level of  
15 global mean temperature increase to 2°C above pre-industrial level, whereas RCP8.5 represents a scenario of business as  
16 usual with expected average temperature increase to 4°C above pre-industrial level. We then move to assess the state of  
17 knowledge on climate change impacts on water resources, weather extremes and mass movements. We discuss implications  
18 of climate change for the management of water resources and natural hazards through a risk perspective. We synthesize  
19 knowledge from peer review literature and to a certain extent key literature of international organisations. We have used  
20 available datasets to generate new graphs on climate and glacier changes in the region. The reviewed literature is necessarily  
21 biased towards Central Asia due to considerably less literature being available for the South Caucasus region.

## 22 2. Regional profiles

23 **Central Asia** consists of the ex-soviet republics of Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan. Central  
24 Asia covers an area of 4 mi square kilometres and has a population of 60m people and a population density of just 15  
25 people/km<sup>2</sup>. It has a varied topography characterised by vast deserts, grassy steppes and high mountain ranges. Mountains  
26 cover approximately 20% of the area, with Tajikistan and Kyrgyzstan being the most mountainous countries (>90% of their  
27 territories). Major mountain ranges are the Tien Shan and the Pamir-Alai. The Tien Shan mountain range spans from  
28 Uzbekistan to Kyrgyzstan and in the southeast from Kazakhstan to China (Xinjiang). The West and North Tien Shan exhibit a  
29 relative moist climate whereas the eastern and inner ranges have a more marked continental climate. The Pamir mountain  
30 range is located in the South, mostly lying in Tajikistan. It hosts high mountain glaciers in its most arid areas. On the eastern  
31 side of the Pamir the climate is cold and arid whereas in the western and central side it is considerably wetter. Seasonal mean  
32 air temperatures from the observational dataset CRU<sup>1</sup> for the period 1981-2000 are in the order of 19°C in summer (JJA) and  
33 -7°C in winter (DJF) (Ozturk et al. 2017). Major river systems of the region include the Amu Darya and the Syr Darya. Major  
34 water bodies are the Aral Sea, Lake Balkhash and Issyk Kul, which are both part of the west/central Asia endorheic basin that  
35 also includes the Caspian Sea.

36 **South Caucasus** consists of the ex-soviet states of Armenia, Azerbaijan and Georgia and sits between the Black Sea (west)  
37 and Caspian Sea (east). The Caucasus has an area of 186,100 km<sup>2</sup> and a population of 16m people. The Caucasus mountains,  
38 which divide Europe from Asia, greatly influence the climate of the region. The region shows a marked topography within a  
39 very narrow distance. The highest point is Mount Shkhara at 5201m asl and the lowest point being -28m asl. The climate is  
40 extremely diverse varying with both longitude and altitude. The Great Caucasus range protects the region from the direct  
41 penetration of cold air masses from the north and strongly dictates the PR rates. PR decreases from west to east and generally  
42 mountain areas receive more PR than low-lying areas. The region shows an extreme PR gradient west to east with 2393  
43 mm/year in Batumi (humid subtropical) and 258 mm/year in Baku (cold semi-arid), while mean annual TAs are quite similar  
44 at 14.2°C and 15.1°C, respectively. Largest rivers are the Mtkvari, the Kura and the Araks, with lengths of 1,564, 1,515 and  
45 1,072 kilometres, respectively.

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<sup>1</sup> <http://www.cru.uea.ac.uk/data>

## 46 3. State of knowledge

### 47 3.1. Observed climate change

48 Mean annual TA has increased over the past century over most of the SC and CA region. The numbers of cold days and nights  
49 have decreased and the numbers of warm days and nights have increased across most of Asia since about 1950, and heat  
50 wave frequency has increased since the middle of the 20th century in large parts of Asia (Hijioka et al. 2014).

51 In line with observed northern hemisphere warming, large trends ( $>2^{\circ}\text{C}$  per 50 years) in the second half of the 20th century  
52 were observed in the northern Asian sector (Hijioka et al. 2014). Most studies on CAH also document mean-annual (Hijioka  
53 et al. 2014) and summertime (e.g., Shahgedanova et al., 2010) warming, with slight cooling in the central and eastern Pamir  
54 (Aizen, 2011b), which is shown in Figure 1B. Although, the warming trend in mean annual TAs appears to be less pronounced  
55 at high altitudes than in the lower elevation plains and protected intramontane valleys (Unger-Shayesteh et al. 2013).  
56 However, for the winter months, a stronger warming trend can be detected at higher elevations of the Tien Shan Mountains  
57 (Kriegel et al. 2013; Mannig et al. 2013; Zhang et al. 2009).

58 PR trends, including extremes, are characterized by strong variability, with both increasing and decreasing trends observed  
59 in different parts of the region. In CCW, CAS, a weak but non-significant downward trend in mean PR was observed in recent  
60 decades, although with an increase in intense weather events (IPCC AR5, Figure 1B). In CAH, PR increases have been observed  
61 more often than decreases (e.g., Braun et al., 2009; Glazyrin and Tadzhibaeva, 2011).

62 ERA-Interim<sup>2</sup> reanalysis data from the European Centre for Medium-Range Weather Forecasts (ECMWF) shows widespread  
63 warming across the region (Figure 1B). Localised coolings are seen in locations such as the Pamir (in agreement with Aizen,  
64 2011). PR anomalies show in general drying trends in the west of the region around the Caspian Sea and Caucasus but also in  
65 western China. Very localised wet anomalies can be seen in north-east Kazakhstan (in agreement with projected changes).

### 66 3.2. Projected climate change

67 The latest IPCC report<sup>3</sup> has shown that expected changes in TA (relative to normal period 1986–2005 and scenario RCP4.5)  
68 are quite consistent at  $2\text{--}3^{\circ}\text{C}$  across the region with the exception of CAN where winter warming is expected to be higher at  
69  $3\text{--}4^{\circ}\text{C}$ , in agreement with the warming signal of high latitude regions. The Pamir region is also expected to see a higher rate  
70 of warming in summer of  $3\text{--}4^{\circ}\text{C}$ . Warming across the CA land area is projected to be higher than the global mean. The multi-  
71 model mean<sup>4</sup> summer warming in 2071–2099 is about  $2.5/6.5^{\circ}\text{C}$  above 1951–1980, in  $2/4^{\circ}\text{C}$  world, respectively (Reyer et al  
72 2017, Figure 1). In line with the broad IPCC findings, results from the ISIMIP<sup>5</sup> project (Figure 1 below) show widespread  
73 warming of  $2\text{--}3^{\circ}\text{C}$  in RCP2.6, with a latitudinal trend. In RCP8.5 warming is much more intense at  $6\text{--}8^{\circ}\text{C}$  and additional warming  
74 hotspots over the high altitude regions of the Pamir and Southern Tian Shan.

75 Projected future changes in annual PR exhibit a southwest-northeast dipole pattern, with regions in the southwest becoming  
76 drier and regions in the northeast becoming wetter (Figure 1D). The “dry-getting-drier and wet getting-wetter” under climate  
77 change is a good first order approximation for the region. Wetting of the northeast is the most pronounced signal, in  
78 agreement with the strong global PR increases projected for high latitude regions in winters. The increase/decrease in PR is  
79 far more pronounced during the winter (DJF) than during summer (JJA) (Mannig et al.2013). The multi-model mean drying  
80 signal in the southwest, including the Caucasus region, is very weak (almost flat) under low-emissions scenarios ( $2^{\circ}\text{C}$  world),  
81 and the models disagree about the direction of change. However, there is robust model agreement that under the high-  
82 emissions scenario ( $4^{\circ}\text{C}$  world) the Caucasus region, Turkmenistan and Uzbekistan will receive less rain, with the multi-model  
83 mean annual PR dropping by about 20 percent.

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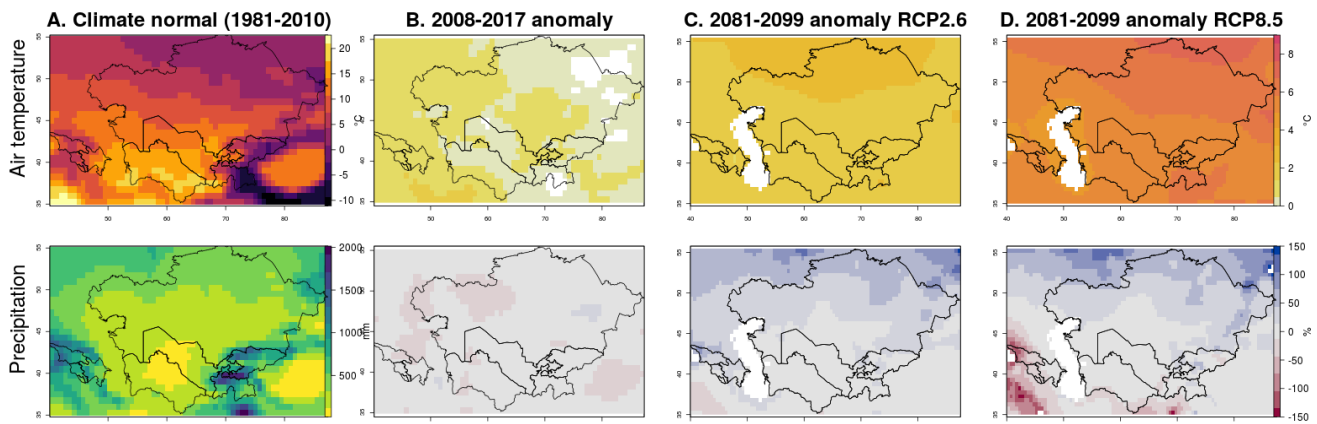
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<sup>2</sup> <https://www.ecmwf.int/en/forecasts/datasets/archive-datasets/reanalysis-datasets/era-interim>

<sup>3</sup> WG1AR5: Annex I: Atlas of Global and Regional Climate Projections ([http://www.ipcc.ch/pdf/assessment-report/ar5/wg1/WG1AR5\\_AnnexI\\_FINAL.pdf](http://www.ipcc.ch/pdf/assessment-report/ar5/wg1/WG1AR5_AnnexI_FINAL.pdf))

<sup>4</sup> Results from multiple models are averaged to account for uncertainties related to different modelling schemes.

<sup>5</sup> A community driven modeling effort to provide cross-sectoral impacts of climate change based on the RCP scenarios [www.isimip.org](http://www.isimip.org).



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Figure 1: Observed and projected climate change in Caucasus and Central Asia as reported by ERA-Interim reanalysis for climate normals<sup>6</sup> (A) and current anomaly (B) and GCM multimodal means (Hempel et al. 2013) for RCP2.6 (C) and RCP8.5 (D) (projected changes 2081-2099). Cooling of up to 1 °C shown in white in panel (B). Note all temperature scales are in °C (precipitation normal is in mm whereas precipitation anomalies are in % change).

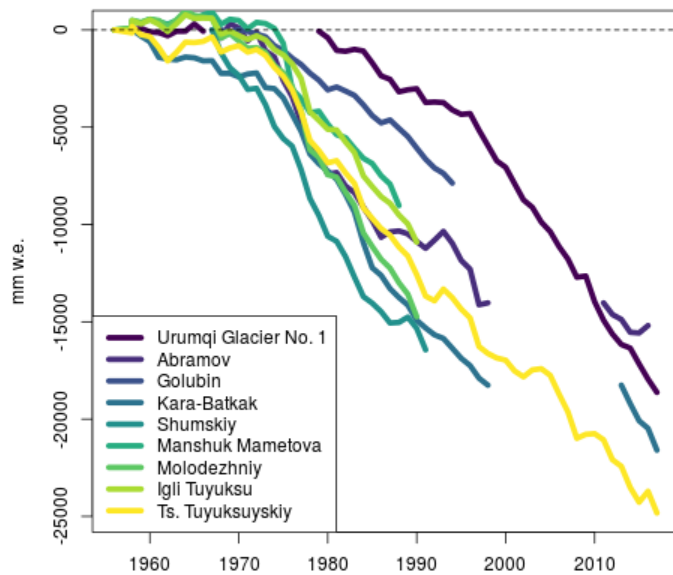
91 3.3. Changing water towers

92 High mountain areas of the world are often referred to as “water towers” due to their critical role in supplying lowland regions  
93 with water supply. This is especially true for the large irrigated regions of both central Asia and Caucasus. Here, seasonal  
94 storage of freshwater as snow and inter-annual storage as glacier ice, provides a critical water reserve that permits agriculture  
95 and domestic water supplies during summer dry seasons as well as replenishing groundwater reserves. Therefore projected  
96 changes in high mountain water resources are critical to understand particularly in the regions that have seasonal PR regimes  
97 (Southern central Asia).

98 **Glaciers:** There is clear evidence from observations that glaciers are retreating throughout Central Asia (WGMS, 2018) and  
99 the Caucasus (WGMS 2018, Tielzide, 2016, Bondyrev et al. 2015, Shahgedanova et al. 2005) as a response to rising global TAs  
100 (Figure 2 below). Where multiple surveys are available, most show accelerating loss. Rates between  $-0.05\% \text{ yr}^{-1}$  and  $-0.76\%$   
101  $\text{yr}^{-1}$  have been reported in the Altai (Surazakov et al., 2007; Shahgedanova et al., 2010) and Tien Shan (Lettenmaier et al.,  
102 2009; Sorg et al., 2012), and between  $-0.13\% \text{ yr}^{-1}$  and  $-0.30\% \text{ yr}^{-1}$  in the Pamir (Konovalov and Desinov, 2007, Aizen, 2011).  
103 Tielzide (2016) found that the area of Georgian glaciers reduced by  $42.0 \pm 2.0\%$  between 1911 and 2014, with highest retreat  
104 rate seen in the eastern region ( $67.3 \pm 2.0\%$ ). These ranges reflect varying sub-regional distributions of glacier size (smaller  
105 glaciers shrink faster) and debris cover (which slows shrinkage), but also varying proportions of ice at high altitudes, where  
106 as yet warming has produced little increase in melt (Narama et al., 2010). Marzeion et al. (2012) found 21st-century volume  
107 losses could be 50% for RCP2.6, and 67% for RCP8.5. The concept of peak water (Huss and Hock 2018 and references therein)  
108 is important to understand for glacial contributions to surface runoff. As melt rates increase, runoff will also increase until a  
109 certain tipping point that the glacial mass is reduced to such an extent that runoff starts to decline. The study of Huss and  
110 Hock (2018) found that for the basins of the Aral Sea this point is approximately mid-century. For the Caucasus this point is  
111 now and glacial discharge is likely decreasing over widespread areas. More immediately, glacial retreat creates a hazard due  
112 to the formation of moraine-dammed glacial lakes with possibility of outburst floods (GLOFs) (Bolch et al., 2011).

<sup>6</sup> Climate is commonly described using the long-term averages of meteorological parameters (such as temperature, precipitation and hours of sunshine), as well as the differences from these averages. The 30-year average and 30-year averaging period are used as standard for climate normals worldwide.

Cumulative glacier mass balance 1956-2017



113  
114 *Figure 2: Changes in mass balance of central Asian glaciers over the past half century (credit: WGMS).*

115 **Seasonal snow cover:** Over large parts of southern Central Asia seasonal snow cover contributes significantly to the annual  
116 water budget as PR is seasonal and falls mainly during autumn to spring, largely as snow in mountain regions. Observed  
117 warming in mountains of Central Asia and Caucasus is expected to be accompanied by reduction in seasonal snow cover as a  
118 higher proportion of winter PR falls as rain (Lemke et al. 2007). Zhou et al (2017) found significant decreases in number of  
119 snow on ground days throughout the Pamir and Tien Shan in an analysis of trends from 1986-2008. Global projections  
120 estimate an increase in the snow line of around 150 m per 1°C warming (Christensen et al. 2007). Expected changes in  
121 seasonality of snow melt will result in earlier runoff and reduced water availability in summer/late summer (Barnett et al.  
122 2005) where demand in the large irrigated zones of Central Asia is highest, particularly in unregulated catchments. Changes  
123 in seasonal snow cover are projected to enhance warming in mountain regions through snow-albedo effects (Christensen et  
124 al. 2007). In the Amu Darya basin studies have found that increasing glacial runoff will buffer decreasing snowpacks until mid-  
125 century when peak water is expected in many areas (Huss & Hock 2017, Figure 3). However, the second half of the century  
126 will then see decreasing runoff as both snow and glacial components decrease.

127 **3.4. Extreme weather events**

128 The effects of climate change are projected to cause shifts in present day climate into new regimes, where what we consider  
129 to be extreme events today will be increasingly common in the future.

130 **Heat Extremes:** Reyer et al. (2017) found that threshold exceeding heat extremes strongly increase in southern regions in a  
131 4 °C world with respect to the reference period 1951-1980. Heat extremes can be quantified as 3- and 5-sigma events  
132 (considering that monthly temperatures are close to a normal distribution, 3- and 5-sigma events represents 3 and 5 standard  
133 deviations over the mean temperature, respectively). In a region from Eastern Caucasus to Central China they found 80% of  
134 summer months to exceed 3-sigma and 40% 5-sigma events. To put this into context, TAs experienced during the warmest  
135 10 percent of summer nights during the 1961–1990 period are expected to occur in about 30 percent (2°C world) or 90  
136 percent (4°C world) of summer nights by the end of the century in regions approximately below 50° latitude (Sillmann et al.  
137 2013). This will likely increase heat stress considerably in human populations, livestock and agricultural crops as well as  
138 enhance drought impacts.

139 **Precipitation Extremes:** No clear trend in PR extremes can be found in the observation record (Dai 2013, Donat et al. 2013);  
140 however a moderate drought risk is projected until the end of the century with 10% decrease in soil moisture in CAS and  
141 Caucasus. Although Sillmann et al. (2013) found no significant change in the index “consecutive drought days” in their study  
142 Central Asia model domain (includes Caucasus). Changes in soil moisture in CAN are likely to be slightly positive. However,  
143 warming will have a large influence on soil moisture due to enhanced evapotranspiration. Reyer et al. (2017) found significant  
144 increases in land area classified as both arid (19.6, 11.6) and hyper arid (22.4, 14.4) in both 2 and 4 °C worlds, respectively.  
145 Specifically, under a 4°C world significant increases in aridity in already drought prone regions such as southern Kazakhstan,

146 Uzbekistan, and Turkmenistan can be expected, with serious implications for agriculture and food security. Although drought  
147 projections remain uncertain, at least in the PR signal, regional water availability will be strongly affected by changes in river  
148 runoff due to glacier melting and changes in seasonal snow storage (next section). Atmospheric warming speeds up the  
149 hydrological cycle and is expected to increase the frequency of intense PR events throughout the region (Sillmann et al. 2013).  
150 Mountainous regions of central Asia are very prone to flash-flooding which can occur after intense PR events particularly in  
151 steep rocky catchments with narrow canyon outlets. There are many well-documented cases of entire villages being  
152 destroyed by this type of events such as the seven-lakes event in 1992 in the Fan mountains, Tajikistan.

### 153 3.5. Mass movements

154 Mass movements are complex phenomena with possible climate triggers e.g. glacier lake outburst floods, debris flows, rock  
155 fall and ice/snow avalanches. In general warming in high mountain regions can lead to destabilisation of steep slopes due to  
156 loss of mechanical strength e.g. permafrost debris/rock slopes. While climate induced permafrost degradation (observed at  
157 GTNP<sup>7</sup> sites in Tien Shan e.g. Marchenko et al., 2007) can be a key driver of such events, it is not straightforward to disentangle  
158 the climate signal from normal mass wasting processes in mountain areas. However there is increasing evidence that  
159 increased incidence of thermally induced slope instabilities should be expected as high mountain regions warm. A second  
160 class of more mechanical mass-wasting is debuitressing due to glacial retreat that leaves oversteeped slopes eroded by the  
161 former glacier flow. These slopes are inherently unstable and prone to collapse. Snow avalanches are significant hazards in  
162 both central Asia and Caucasus and can threaten exposed infrastructure and settlements. Impact of climate change on snow  
163 avalanches is complex and uncertain. Reduced average snowpack depths would serve to reduce frequency of large events.  
164 Although there is some evidence of large PR events in winter becoming more frequent which would promote large avalanche  
165 events even with a background of lower average snow depths. Mass movements often result in compound events which can  
166 impact distant low lying regions (Mergelli et al. 2018). Compound events are usually associated with different interacting  
167 physical processes over multiple temporal and spatial scales (Zscheischler et al. 2018), e.g. a glacier lake outburst flood  
168 triggered by an impact wave from an ice avalanche upstream, which in turn triggers a debris flow with entrainment of  
169 material. Earthquakes can often be the trigger of such compound processes and while not coupled to climate can trigger mass  
170 movements on slopes destabilised by warming.

#### 171 Box 1: Compound events on Mount Kazbek Massif, Caucasus

172 *On May 17, 2014 an ice avalanche released from the Devdoraki Glacier on Mt. Kazbek*  
173 *(5033m) in Georgia. The ice avalanche triggered a massive mud and debris flow. The*  
174 *flow travelled downstream to the Terji River, which was temporarily blocked and gave*  
175 *rise to a 20-30 m deep lake with a water volume of 150'000 m3. The debris covered a*  
176 *highway of international importance between Georgia and Russia, the international*  
177 *gas pipeline and the building site of a new hydropower plant were damaged. The*  
178 *disaster claimed the lives of nine people and created disruption in the downstream*  
179 *communities (Tielidze 2017). This is the same region from which in September 2002 ice*  
180 *and rock from a hanging glacier released onto the Kolka glacier triggering a massive*  
181 *avalanche of ice, snow and rocks into the Valley river. The avalanche swallowed the*  
182 *village below and several other settlements.*



*Ice avalanche release zone, Mt Kazbek, May 2017. Source: Geopraevent*

## 183 4. Knowledge gaps and uncertainties

### 184 4.1. Models

185 Climate projections simulate the response of the climate system to a scenario of future greenhouse gas emissions and are  
186 derived using climate models. Climate models can be understood as numerical representations of the climate system based  
187 on biological, chemical and physical properties of the atmosphere, cryosphere, land and ocean components including their  
188 interactions and feedbacks. The most advanced climate models are General Circulation Models (GCMs), with a spatial  
189 resolution of 100-300 km, as used by the IPCC and literature in this review to assess climate change at global scales. Coarse  
190 resolution means that topographic features even as large as the Pamir Tien Shan are not well resolved and therefore surface  
191 processes are not well represented leading to generally greater uncertainties in mountain regions as compared to low lying  
192 regions. Our projections may also be informed by observations in the case of debiasing which again is problematic in mountain  
193 regions where observations are sparse and not representative of larger regions. Regional Climate Models can address the  
194 resolution problem to some extent by downscaling but are still prone to uncertainties related to surface process  
195 representation.

<sup>7</sup> Global Terrestrial Network for Permafrost mandated by Global Climate Observing System/WMO

## 196 4.2. Observations and networks

197 Glacier, snow and permafrost in situ measurements and monitoring constitute the data basis for ascertain changes and  
198 process understanding in upstream and downstream systems due to climate change. Thus, long term and continuous in situ  
199 observations and measurements are of paramount importance to address climate change impacts on water resources and  
200 natural hazards. Continuous in situ measurements and monitoring in remote areas such as the mountain areas of Central Asia  
201 and the Caucasus are challenging tasks due to the difficult access, complex topography, financial and logistic constraints,  
202 political instability as well as lack of appropriate infrastructures (Hoelzle et al. 2017). Several studies have reported the lack  
203 of appropriate and reliable data sets as one of the most important constraint to understand patterns of changes in Central  
204 Asia (Unger-Shayesteh et al. 2013, Hoelzle et al. 2017). Most areas of the Asian region lack sufficient observational records to  
205 draw conclusions about trends in annual PR over the past century (Hijioka et al. 2013; Figure 24-2; Table SM24-2). If weather  
206 stations are present at all, they are usually located at lower elevations where most of the population lives. There are very few  
207 datasets above 3000 msl and virtually none above 5000 msl. Remote sensing data as well as model-assimilated observations  
208 (from reanalysis data) are used to fill the observational gap. Their products are becoming increasingly popular and show  
209 increased skills. However, the relatively short time series and coarse resolution do not allow for robust assessments of  
210 changes in mountain areas, where the complex topography requires finer enough resolutions (< 5 km) (Prein et al. 2015). This  
211 makes the case for denser observational networks in remote mountain areas ever more urgent.

212 Management of extreme events and mass movements requires monitoring, recording and reporting of events over relatively  
213 long time scales and standardised data reporting. In locations where resources are scarce and funds are limited, this is often  
214 not a priority. Permafrost monitoring is also very important for understanding slope stability and the influence of permafrost  
215 on water resources. Permafrost monitoring is patchy in the regions which account for only 5 permafrost boreholes in Central  
216 Asia (all with discontinuous measurements) and none in the Caucasus (Biskaborn et al. 2015).

217 First steps have been undertaken to re-establish monitoring sites and to build capacities and innovations through  
218 international projects such as the Central Asia Water (CaWA<sup>8</sup>), an international consortium of German and Central Asia  
219 institutions, the Capacity Building and Twinning Climate Observing System of the Swiss Agency for Development and  
220 Cooperation (CATCOS<sup>9</sup>), the Water Management in the South Caucasus of the USAID and the Central Asia Hydrometeorology  
221 Modernization Project (CAHMP<sup>10</sup>) of the World Bank.

## 222 5. Implications for water and disaster risk management

223 The IPCC risk framework in the background of Figure 3 (IPCC 2012, 2014) provides a useful approach to discern the different  
224 drivers of climate risks for a country or a region. It recognises that climate impacts and risks are given by the complex interplay  
225 of multiple factors, not least the past and current pathways of socio-economic and political development. This perspective is  
226 useful for addressing current and future management issues since it distinguishes the physical causes of risks (hazards as  
227 discussed in the previous section) from causes related to exposure (number of people, infrastructures) and past and present  
228 pathways of development (vulnerability) (Allen et al. 2018). In this section we look at the risks associated with changes in  
229 water resources and changes in the natural disaster landscape as a consequence of climate change and highlight the exposure  
230 and vulnerability contributions to climate related risks (Figure 3).

231 Due to the semi-arid to arid climate, Central Asia and part of South Caucasus are heavily dependent on fresh water supplies  
232 from snow and glacier melt for irrigation, hydropower and domestic use. Changes in timing (seasonality) and amounts of  
233 fresh water can have serious implications for the future management of irrigated agriculture and energy generation from  
234 hydropower supplies. The effect will be most strongly felt in the large irrigation zones of central Asia such as Samarkand and  
235 Bukhara, but also in upstream hydroelectric potential of schemes such as the Nurek dam in Tajikistan. Mankin et al. (2015)  
236 conducted a global study of sensitivity of individual basins to changes in snow supply under climate change projections. They  
237 found that currently the basins of CA significantly depend on snowmelt to serve summer demand (demand refers to surface  
238 and subsurface water consumption from agricultural, industrial and domestic use), whereas in the Caucasus rainfall is  
239 sufficient to meet demand. In addition, they found a high risk that snow melt will no longer meet summer demand by mid-  
240 century in central Asian basins. They also found evidence for a shift from sufficient to insufficient rainfall runoff to meet water  
241 demand in the Caucasus region by 2080. Given the already very high level of water stress in many parts of Central Asia,  
242 observed and projected TA increases and PR decreases in the western part of Kazakhstan, Uzbekistan, and Turkmenistan (cf.  
243 Figure 1) could exacerbate the problems of water shortage and distribution (Lioubimtseva and Henebry, 2009). Considering

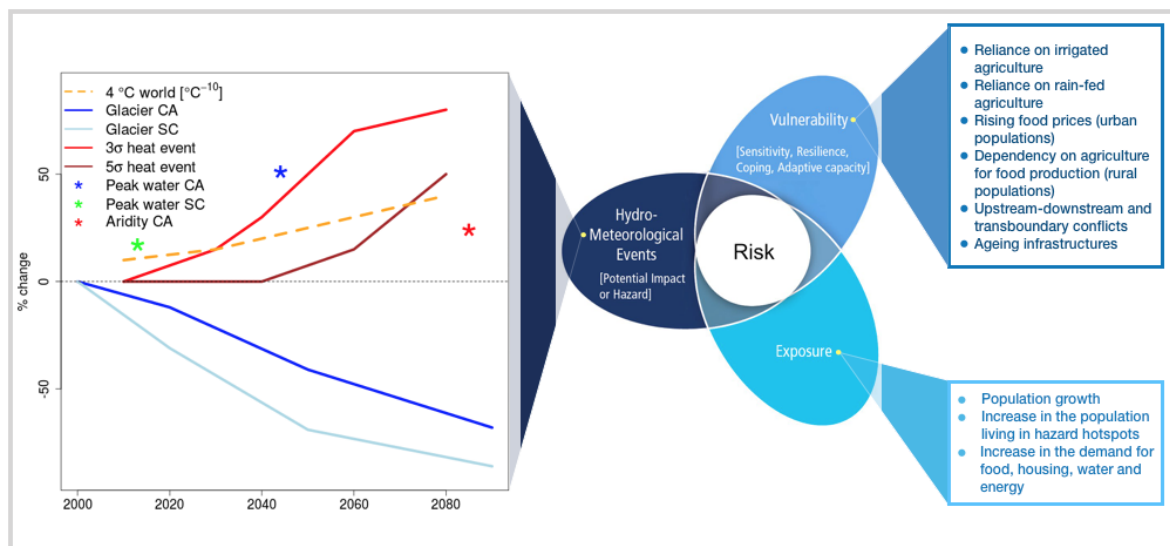
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<sup>8</sup><http://cawater-info.net/>

<sup>9</sup><https://www.meteoschweiz.admin.ch/home/forschung-und-zusammenarbeit/projekte.subpage.html/de/data/projects/2011/catcos.html>

<sup>10</sup><http://projects.worldbank.org/P120788/central-asia-hydrometeorology-modernization-project?lang=en>

244 the dependence of Uzbekistan's economy on its irrigated agriculture, which consumes more than 90% of the available water  
 245 resources of the Amu Darya basin, climate change impacts on river flows would also strongly affect the economy (Schlüter et  
 246 al., 2010).



247  
 248 *Figure 3: The risk concept adapted from the IPCC (2012, 2014), which highlights the interactions between hazard, exposure and vulnerability*  
 249 *as components of risk. The hazard box (left end side) synthesizes the main trends from current observations and projections until the second*  
 250 *half of the century as reported in this paper. The right end side summarises main elements of current vulnerability and exposure common*  
 251 *to both regions as collected from the reviewed literature.*

252 Recent studies have demonstrated that the risk of water scarcity in the region is strongly associated with high water demand  
 253 driven by socio-economic pressure and increasing demographic trends (increased exposure) (Luck et al. 2015). In Central Asia,  
 254 inefficient water usage for irrigation and degradation of croplands has already resulted in 30% fall in crop productivity since  
 255 the 1990s (Conrad et al. 2013). Depending on the climate scenarios, agriculture productivity might decline by as much as 20%-  
 256 50% by 2050 (compared to 2000-2009 baseline) in Uzbekistan and up to 30% in part of Tajikistan if appropriate adaptation  
 257 measures are not implemented (Reyers et al. 2017). Loss of agricultural productivity combined with soaring population and  
 258 food prices can have direct impacts on food security for large sections of the population. In the already water stressed and  
 259 drought prone areas of the Ararat valley in Armenia, climate change is expected to lead to enhanced TA and reduced PR  
 260 resulting in more frequent drought conditions. Furthermore, an expected reduction in the flow of the major river (Arpy River)  
 261 and a decrease in groundwater levels will pose serious challenge for a country dependent on agriculture for more than 20%  
 262 of its GDP (Melkonyan 2015). In parts of Azerbaijan existing water stress due to inefficient use, unequal distribution and  
 263 seasonal fluctuations are causing already major concerns. Improved water use efficiency in irrigation, changing/rotating crop  
 264 systems and water reuse might ease water stress and improve agriculture productivity (Aleksandrova et al. 2014).

265 The presence of a marked downstream-upstream topography renders the two regions particularly prone to gravitational  
 266 hazards such as landslides, debris flows, mud flows, ice/snow/rock avalanches and GLOFs. While it is still statistically difficult  
 267 to directly link such events and frequency of occurrence to shifts in global climate there is growing evidence from the Alps  
 268 (Huggel et al. 2012) and a sound physical basis for increased occurrence of mass movements in high mountain regions. As  
 269 permafrost slopes warm they become less stable, as glaciers recede they leave behind inherently unstable slopes that have  
 270 been 'oversteepened' due to erosive glacial flow as well as large amounts of sediments that can be mobilised in large  
 271 destructive debris flows.

272 However, the sequence of processes leading to these type of hazards needs to be reasonably well understood to devise  
 273 appropriate adaptation and disaster risk reduction strategies. Several other contributing effects or confounders play a role in  
 274 the dynamics of disasters generated by gravitational hazards, such as the increased number of people and assets in hotspot  
 275 areas (exposure) as well as lack of appropriate risk preparedness and information (vulnerability). It is thus of paramount  
 276 importance to intensify the development of soft adaptation measures which are flexible, robust and non-regrets and that  
 277 allow for adaptive management (Hallegatte 2009), hazard, exposure and vulnerability mapping, capacity building and training,  
 278 Early Warning Systems (EWS).



279

280 Box 2: Transboundary processes and management challenges

281 The Syr Darya river basin originates from the Tian Shan Mountains in Kyrgyzstan, briefly flows across Tajikistan, Uzbekistan  
282 and Kazakhstan to end in the Aral Sea. During the soviet era extensive irrigation in the downstream countries of Uzbekistan  
283 and Kazakhstan was developed. Upstream countries provided water for spring and summer irrigation to the downstream  
284 countries and received fossil fuels in exchange. After the breakdown of the Soviet Union, Kyrgyzstan, which is poor in fossil  
285 fuels, started storing water in spring and summer to be used in the fall and winter for hydropower generation. The  
286 downstream countries, however, still need large amount of water during April-September for irrigation. Projections show  
287 that for the Aral Sea Basin peak water could be reached in 2030±5 (RCP2.6) and 2044±15 (RCP8.5) followed by a steady decline  
288 in glacier run off (Huss & Hock 2018). The impacts of glacier melting and reduced snow cover will be felt both upstream and  
289 downstream. Additionally, studies on cooperation regimes indicate that Central Asia has a moderate to high risk of conflicts  
290 due to reduced water availability (Bocchiola et al. 2017). The major challenge for the region is thus to manage the diverging  
291 needs of the upstream and downstream countries through appropriate transboundary cooperation. To facilitate  
292 transboundary cooperation, dynamics of water flows and management need to be well understood to devise appropriate  
293 adaptation solutions for the region (Bocchiola et al. 2017).

294

## 295 6. Key messages

- 296 ● Climate change is well underway in both regions, positive TA anomalies are observed throughout both regions and  
297 drying trends seen in the western regions of Central Asia and Caucasus. Warming is projected to continue throughout  
298 the region and depending on scenario ranges from a “manageable” 2-3 °C to a dangerous 5-8 °C. Particular hotspots  
299 of TA increase are Northern regions of Kazakhstan and Pamirs/ South Tian Shan.
- 300 ● Drying trends are likely in South western parts of Uzbekistan and Turkmenistan and Caucasus, increasing risk of more  
301 frequent and longer periods of drought.
- 302 ● Caucasus will likely no longer rely on sufficient rainfall to meet summer demand by late century with increased  
303 dependence on depleted snow/glacier melt water resources.
- 304 ● Significant increases in heat stress in human populations, livestock and crops is very likely throughout both regions  
305 during summer months.
- 306 ● Glaciers are retreating in both regions and will continue to retreat over this century. Peak water has likely already  
307 been reached in the Caucasus and will be reached by mid-century in Central Asia. Glacial water resources will  
308 decrease after this tipping point. The risk of Glacial lake outburst flood is expected to increase.
- 309 ● Decreasing PR, increasing evapotranspiration and reduced runoff from snow and glacial melt will likely combine to  
310 severely reduce water resources particularly in irrigated zones of central Asia in the second half of this century.
- 311 ● Permafrost mountain slopes throughout both regions will experience thawing during this century over wide areas  
312 increasing the chance of mass movement events such as rockfall, ice avalanches and debris flows. These high  
313 mountain events can often travel large distance and effect low lying communities through complex process chains.
- 314 ● Lack of adequate monitoring of key environmental variable is a key limitation in understanding past and future  
315 trends. Investment in monitoring networks also requires capacity in data management and interpretation as well as  
316 maintenance of systems.
- 317 ● Climate change risks need to be assessed within the specific exposure and vulnerability context of the region in order  
318 to devise appropriate adaptation solutions for water and disaster management.
- 319

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